# Calculation of CO<sub>2</sub> gas phase diffusion in leaves and its relation to stomatal resistance

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Abstract. A new theory and experimental method was developed to measure the diffusion resistance to CO<sub>2</sub> in the gas phase of mesophyll leaf tissue. Excised leaves were placed in a chamber and their net evaporation and CO<sub>2</sub> assimilation rates measured at two different ambient pressures. These data were used to calculate CO<sub>2</sub> gas phase diffusion resistances. A variety of field grown leaves were tested and the effects of various experimental errors considered. Increasing the gas phase diffusion resistance decreased transpiration more than it decreased CO<sub>2</sub> assimilation. It was concluded that gas phase diffusion resistance associated with CO<sub>2</sub> assimilation may sometimes be 100 or 200 s·m<sup>-1</sup> greater than the resistance implied by transpiration rates. This may be due to longer path lengths for the CO<sub>2</sub> diffusion, constricted in places by the shape and arrangement of mesophyll cells.

### Introduction

The combined stomatal and cuticular resistance to water vapor diffusion is routinely measured with commercial instruments in many controlled environment and field studies. However, the degree of internal leaf resistance to CO<sub>2</sub> diffusion in the gas phase is still an open question, though it is generally considered to be small [3, 4]. If water supplying transpiration is evaporated from the same surfaces in the leaf that also absorb the CO2 for photosynthesis, the stomatal resistance measured for water would be directly proportional to CO<sub>2</sub> transfer resistance in the gas phase. This has been the classical view [5]. On the other hand, water may evaporate primarily from surfaces just inside the stomata while CO2 is more extensively transported in the gas phase inside the leaf [1, 2]. Rand [3] developed a mathematical analysis that treats steady state diffusion of water vapor and CO2 in accord with the concept of CO2 adsorbed by the cells throughout the interior of the leaf, while water evaporates mainly from cell walls near the stomata. Tyree and Yianoulis [5] using computer simulation also present evidence supporting this viewpoint.

Conceivably the gas phase CO<sub>2</sub> diffusion resistance inside leaves could be a significant variable. The anatomy of leaves varies widely among families. The stomatal number and leaf size of a single variety may be affected by previous light, temperature, and soil water conditions. The thickness and

consequently the gas phase geometry in most leaves changes throughout the day as their water potential decreases. All of these phenomena could be involved in plant adaptation to periods of environmental stress through their effects on CO<sub>2</sub> diffusion and assimilation relative to transpiration.

One might consider measuring internal leaf CO<sub>2</sub> diffusion resistance by observing the passage of an inert gas from a chamber on one surface of a leaf into a chamber on the other side. However, the result would not be the 'effective' CO<sub>2</sub> resistance because CO<sub>2</sub> diffusion pathways in the leaf are affected by the absorption of CO<sub>2</sub> into the liquid phase at specific locations. A better alternative may be to measure the CO<sub>2</sub> assimilation at different ambient pressures. Gas diffusion coefficients are inversely proportional to the total pressure. Consequently, the pressure dependence of assimilation provides a way to measure the effect of gas phase diffusion resistance on photosynthesis.

## Theory

One may define 'effective' CO2 transport resistances in the gas phase as

$$F = \frac{C_a - C_w}{r_a} = \frac{C_a - C_w}{r_s + r_{om}}, \qquad (1)$$

where F is the net CO<sub>2</sub> assimilation in mg CO<sub>2</sub>·m<sup>-2</sup>·s<sup>-1</sup>;  $C_a$  is the ambient CO<sub>2</sub> concentration in mg·m<sup>-3</sup>;  $C_w$  the concentration of CO<sub>2</sub> at the gasliquid interfaces in the leaf where CO<sub>2</sub> is being absorbed;  $r_s$  is the combined boundary layer, stomatal and cuticular resistances to CO<sub>2</sub> diffusion in s·m<sup>-1</sup>;  $r_g$  is the total resistance to CO<sub>2</sub> diffusion in the gas phase and  $r_{gm}$  is CO<sub>2</sub> diffusion resistance in the gas phase of the mesophyll tissue.

The ambient pressure dependence of equation (1) may be expressed as

$$Fr_{g} = C_{a} - C_{w} \tag{2}$$

and

$$F_{\alpha} \gamma r_{\alpha} = C_{\alpha} - C_{w\alpha}, \tag{3}$$

where  $F_o$  is the net assimilation of  $CO_2$  at some pressure greater than atmospheric,  $\gamma$  is the absolute pressure at which  $F_o$  was measured divided by the atmospheric pressure and  $C_{wo}$  is the concentration of  $CO_2$  at the cell walls around the chloroplasts when the pressure is raised above atmospheric with  $C_a$  held constant. Increasing the ambient pressure increases the diffusion resistance; consequently, the concentration of  $CO_2$  will decrease adjacent to the cells that are adsorbing  $CO_2$ . This decrease in  $CO_2$  can be estimated as a perturbation of the value of  $C_w$  at ambient pressure by using a simple empirical function that converges to the known limits; i.e.,  $C_w \rightarrow C_a$  as  $r_g \rightarrow 0$  and, when photorespiration is small (1% ambient  $O_2$  or a  $C_4$  plant),  $C_w \rightarrow 0$  as  $r_g \rightarrow \infty$ . Let us define  $\epsilon$  at constant  $C_a$  such that

$$C_w = C_a \exp{-\epsilon r_g}. (4)$$

Then, for a small change in F brought about by a change in  $r_g$ , where  $\epsilon$  is a specific constant for any given leaf under otherwise unchanged conditions, equations (2) and (3) may be rewritten as

$$Fr_g = C_a (1 - \exp - \epsilon r_g) \tag{5a}$$

and as

$$F_o \gamma r_g = C_a (1 - \exp - \epsilon \gamma r_g). \tag{5b}$$

Solving the first of these relations for  $\epsilon$  and using the result to replace  $\epsilon$  in the other leads to

$$f(r_g) = \frac{C_a}{\gamma F_o} \left( 1 - \left( 1 - \frac{Fr_g}{C_a} \right)^{\gamma} \right) - r_g = 0.$$
 (6)

Equation (6) is nonlinear with respect to  $r_g$ , but  $r_g$  can be found using a programmable calculator and the Newton-Raphson iteration formula

$$r_{g(n+1)} = r_{g(n)} - \frac{f(r_g)}{f'(r_g)},$$
 (7)

where

$$f'(r_g) = \frac{df(r_g)}{dr_g} = \frac{F}{F_o} \left( 1 - \frac{Fr_g}{C_a} \right)^{\gamma - 1} - 1. \tag{8}$$

A reasonable guess for the gas phase resistance is entered in equations (6) and (8) for  $r_g$  and also for  $r_{g(n)}$  in equation (7). Equation (7) then gives a value for  $r_{g(n+1)}$  that is used in equations (6), (7), and (8) to find  $r_{g(n+2)}$ . The process is repeated until further iterations result in little improvement in the estimate of  $r_{g(n)}$ ; for example,  $|r_{g(n)} - r_{g(n+1)}| < 0.1$ . This value for  $r_{g(n+1)}$  is the solution for  $r_g$  in equation (6). If too small a value for  $r_g$  is initially entered,  $r_{g(n)}$  will converge to zero, which is a trivial solution for equation (6). Thus, the first guess should be on the high side, but not so large that the term  $(1 - Fr_g/C_a) < 0$ . The value of  $r_g$  can also be found from (6) using a 'zero of functions' routine that is available in some calculator software packages.

# Experimental procedures

Measurements of F,  $F_o$ ,  $C_a$  and  $r_s$  were made in the leaf chamber sketched in Figure 1. The area enclosed by the ring was  $24.2 \,\mathrm{cm}^2$  which was exposed to a mercury light that delivered  $700 \,\mu\mathrm{E} \,\mathrm{m}^{-2} \,\mathrm{s}^{-1}$  inside the chamber. The rest of the leaf was shaded from direct light to reduce transpiration and temperature rise.

The gas was mixed from cylinders of  $O_2$ ,  $N_2$  and  $N_2 + CO_2$ . The mixture was adjusted to any desired composition using two sets of pressure regulators followed by individual micrometer needle valves between each tank

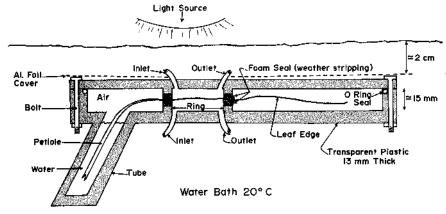


Figure 1. Cross section diagram showing an excised leaf in the pressure chamber submerged in a constant temperature bath, but exposed to a photosynthetically active light source. The dashed line represents an aluminum foil cover to reduce evaporation from the bulk of the leaf.

and the mixing manifold. The mixed gas was passed through a flow meter, infrared  $CO_2$  analyser, and a paramagnetic  $O_2$  analyser.\* The needle valves were adjusted to give the desired mixture. The inlet and outlet of the leaf chamber was then connected between the mixing manifold and the flow meter, and the gases allowed to come to steady state with the area of leaf exposed in the ring. Stomatal and boundary layer resistance,  $r_s$ , was calculated in the normal way from the leaf temperature (measured with a thermocouple) and the rate of transpiration (measured with a dewpoint, mirror-type instrument connected in series with the gas analysers and flow meter). The boundary layer resistance was measured as  $110 \, \text{s} \cdot \text{m}^{-1}$  at  $12 \, \text{min}^{-1}$  flow rate by using a wet filter paper in place of the leaf. The leaf temperature was held at approximately  $20^{\circ}\text{C}$ .

Measurements of  $F_o$  at higher than atmospheric pressure was done at the same flow rate and temperature following the same routine for measuring F, except that a needle valve was placed in the gas line just after it left the leaf chamber. The valve was closed enough to bring the pressure in the chamber to the desired level. This left the flow meter and gas analysers at atmospheric pressure so that their calibrations did not change.

The measurements of  $F_o$  were made at 60 kPa above atmospheric which gave  $\gamma$  a value of 1.68 corrected for altitude. Pressure was measured with a commercial gauge checked against a Hg column. Values of  $r_s$  were also

Trade names and company names are included for the benefit of the reader and do not imply any endorsement or preferential treatment of the product listed by the US Department of Agriculture.

<sup>\*</sup>Beckman Model 865 CO<sub>2</sub> analyser, Beckman Model C-2 O<sub>2</sub> analyser (calibrations checked with standard gases) floating ball gas flow meters, Cambridge Model 990 dewpoint hygrometer and Wescor digital TH-65 thermocouple reader  $\pm$  0.1°C (calibrations checked with water adsorbed in a silica gel column from steady state gas streams).

measured at the higher pressure, and checked to ensure they were 1.68 times greater than  $r_s$  measured at atmospheric pressure before the data were accepted for use in equation (6). Use of (6) also requires that  $C_a$  be held constant when the pressure is increased in the leaf chamber. Consequently, the mixing valves were adjusted so the analyser registered a value about  $1.68^{-1}$  times smaller for  $CO_2$  concentration in the gas stream entering the pressurized chamber, as compared to the concentration at normal pressure. The oxygen concentration was similarly adjusted. The flow rate was held constant at  $1\,$ 2 per minute at atmospheric pressure by increasing the amount of nitrogen.

Leaves were taken from field grown plants just prior to placement in the chamber taking care to keep them enclosed in a plastic bag with their petioles submerged in water. About 1 hour was allowed for each leaf to reach steady state after placement in the chamber. Then, 20 to 40 minutes was allowed to achieve steady state following changes in gas concentration or total pressure.

## Results and discussion

Measurements of net CO<sub>2</sub> uptake and stomatal resistance at ambient pressure and at 1.68 times ambient pressure are shown for 3 leaves in Table 1. The ambient values of  $C_n$  are those measured by the gas analyser which was always at atmospheric pressure. Consequently the real concentration of CO<sub>2</sub> reaching the leaf was 1.68 times greater when the pressure around the leaf was raised to 1.68 times atmospheric. These pressure corrected values are shown in column 7 as a check on how well the gas mixture was controlled. The pressure adjusted stomatal resistance and CO2 uptake are also shown in separate columns. For stable leaves, examples 2 and 7, the pressure corrected stomatal resistances,  $1.68^{-1}$   $r_s$ , were near the resistances measured at ambient pressure, as required by the theory. On the other hand, the pressure adjusted assimilation rates,  $1.68F_{\odot}$ , were always significantly larger than those observed at atmospheric pressure. This shows that increasing the gas phase diffusion resistance decreases the evaporation of water relatively more than it reduces the uptake of CO<sub>2</sub>, a result that is not unexpected [5].

The data in Table 1 may be used to find values for  $r_g$  from equation (6). For leaf No. 2, the pressure corrected values of  $r_s$  and  $C_a$  for observation No. 2 are reasonably close to the values measured during observation No. 3. Consequently, the values F = 0.23,  $F_o = 0.18$  and  $r_s = 300$  were used to find  $r_g = 1090$  as shown in Table 2. Likewise observations 2 and 3 for leaf No. 7 give a value of  $r_g = 500 \, \text{s} \cdot \text{m}^{-1}$ . The possible error associated with these two values of  $r_g$  are shown in Figure 2. In 2A, the effect on  $r_g$  is given by the dashed line for a range of values of F varying around the observed value of 0.23 on leaf No. 2. The solid line gives the same information for

Table 1. Measurements of net CO<sub>2</sub> fixation and stomata plus boundary layer resistance at different levels of CO<sub>2</sub> and ambient pressures. Leaf numbers  $1.68F_{o}$ 1.19 0.26 2.4 1.4 5  $1.68^{-1}r_{s}$ 310 230 200 200 450 35 36 36 37  $1.68C_a$ 903 406 85 85 85 874 864 1.68 amb. 1.68 · amb. 1.68 · amb. 1.68 · amb. .68 · amb. 1.68 · amb ambient Pressure ambient ambient ambient ambient %. S:⊞'≅ 340 390 230 290 730 650 340 510 760 464 406 406 503 509 848 521 515 858 862 862 538 mg CO2 · s-1 · m-2 Net fixation correspond to the leaves described in Table 2 0.19 0.18 0.23 0.68 0.81 0.67 0.67 0.860.832. Observation Š Leaf ģ

Table 2. Various components of CO<sub>2</sub>, leaf diffusion resistances in leaves collected from the field. Columns showing CO<sub>2</sub>, %O<sub>2</sub>, F and  $r_s$  give the ambient conditions for which  $r_s$  was calculated with equation (6). The symbols are defined by equation (1) and the leaves were from pinto bean (Phaseolus vulgaris), sugarbeet (Beta vulgaris), corn (Zea mays), cucumber (Cumcumis sativas), grape (Vitis labrusca), garden iris (Fris pseudocorus), and wild sunflower (Helianthus annus)

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Leaf	° 200			8 · m-1			Leaf description
No.	mg·m	%O2	Ĺt,	8,	18	rgm	-
	374	1	0.67	430	200	230	Beet, expanding with large sink
7	406	П	0.23	1090	300	790	Beet, mature, showing N chlorosis
m	394	-	0.36	1030	400	630	Beet, expanded, soil water stressed
4	384	-	0.52	250	240	10	Beet, mature
Ŋ	612	21	0.56	400	260	140	Beet, flaccid
9	634	21	0.57	340	320	20 .	Bean, fully expanded, plant blooming
7	848	-	1.20	200	200	300	Sunflower, expanding, plant blooming
∞	846		0.41	1160	670	490	Iris, mature
0	828	-	0.85	140,330	340	-200, -10	Corn, near top of plant
10	622		0.86	200	240	-40	Bean, mature, pods filling
11	651	-	0.58	260	470	06	Grape, fully expanded
12*	396	-	0.32	540	460	80	Grape, nearly expanded
13*	398	**1	0.53	260	300	260	Cucumber, fully expanded
14*	406		0.36	1140	550	590	Bean, mature, filling pods
15*	406		0.48	440	370	70	Corn, near top of plant, ears filling
$16^{*}$	390	-	0.58	330	220	110	Sunflower, expanded
17*	390	-	0.48	650	340	310	Beet, expanded, low N soil

\*Measurements made on the underside of the leaf with the topside closed off from gas flow.

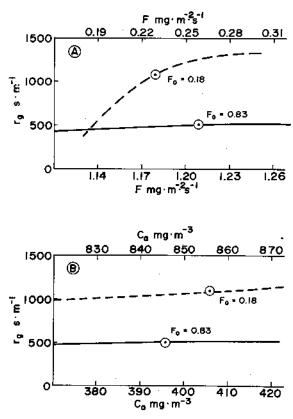


Figure 2. The effect of errors in the measurement of  $CO_2$  fixation rates, F, (part A) and ambient  $CO_2$  concentrations  $C_a$ , (part B) on the value of  $r_g$  calculated from equation (6) using data from Table 1 for leaves No. 2 (beet,  $F_O = 0.18$ ) and No. 7 (sunflower,  $F_O = 0.83$ ). The points show the observed values of F and  $C_a$  while the curves through the points show the changes in  $r_g$  that would result from different values of F or  $C_a$ .

leaf No. 7. Figure 2B shows the effect on  $r_g$  of error in the measurement of ambient  $CO_2$  concentration. It is not difficult to measure the steady state uptake of  $CO_2$  with an accuracy of  $\pm 0.03 \,\mathrm{mg \cdot m^{-2} \cdot s^{-1}}$  on a stable leaf. However, this much error in F can cause an uncertainty of several hundred  $s \cdot m^{-1}$  in calculated values of  $r_g$  when the net fixation rate is low (leaf 2). On the other hand the uncertainty becomes less at high  $CO_2$  fixation rates (leaf 7). Possible errors in the measurement of ambient  $CO_2$  have less effect on calculations of  $r_g$  as shown in Figure 2B. However not having the same concentration of  $CO_2$  around the leaf at ambient and at  $\gamma$  times ambient pressure could cause a larger error because that would affect the measured difference between F and  $F_o$ .

Data from leaf No. 9 shown in Table 1 are examples of problems that

occur when the leaf is not stable during the course of measurements. Observations 2 and 3 provide the best match of ambient and pressure corrected values of  $r_s$  and  $C_a$ . While both  $1.68\,r_s$  and  $1.68\,C_a$  are a bit above  $r_s$  and  $C_a$  at ambient pressure, they are compensating to some degree. Observations 2 and 3 give  $r_s = 130$ . Differences in F between observations 3 and 4 were evidently due to an increase in stomatal resistance. A linear interpolation of these values give F = 0.76 at  $r_s = 450$ . Using these interpolated numbers with observations 1 and 5 gives  $r_g = 140$  and 330, respectively. Thus while interpolation can be used to calculate values for leaves that are somewhat unsteady, the results will be less certain.

Values for the CO2 diffusion resistances defined in equation (1) are shown in Table 2 for a variety of leaves. All the resistance values listed in Table 2 are with respect to atmospheric pressure and the conditions of CO<sub>2</sub>, percent O<sub>2</sub> and F specified in columns 2, 3 and 4. The stomatal and boundary layer resistance,  $r_s$ , subtracted from the  $r_s$  values calculated from equation (6) gives  $r_{gm}$ ; i.e., the resistance to  $CO_2$  diffusion in the gas phase of the mesophyll spaces. Experimental uncertainty in the values given for  $r_{em}$  must be recognized, possibly in the neighborhood of  $\pm 150 \,\mathrm{s} \cdot \mathrm{m}^{-1}$  for stable leaves with intermediate rates of CO<sub>2</sub> absorption. The largest values of rom in Table 2, leaves 2, 3, 8 and 14 are associated with low rates of CO2 uptake and so as shown in Figure 1A are subject to experimental uncertainties of several hundred s · m<sup>-1</sup>. This may be the reason that these values are so large. There is also a possibility that increasing the ambient pressure reduced their CO2 uptake by some unknown mechanism other than increased gas phase diffusion resistance. The two negative values of  $r_{gm}$ , leaves 9 and 10, also presumably result from experimental error. As already pointed out, the stomatal resistance of leaf 9 was not particularly stable during the measurement period.

Experimental errors may be reduced to some extent through selection of the  $CO_2$  and  $O_2$  concentrations. Results from several combinations are reported in Table 1. The  $O_2$  concentration may be kept low to enhance the response of F to changes of internal  $CO_2$  and, consequently, to  $r_{gm}$ . Low  $O_2$  levels also reduce any effects of  $CO_2$  generated by photorespiration as required by the boundary conditions for equation (4), though this is not a large factor as long as the pressure induced change in  $C_w$  is moderate. Levels of  $C_a$  in the neighborhood of  $400 \, \mathrm{mg \cdot m^{-3}}$  give a good response to changes in gas diffusion resistance due to the slope of the photosynthesis response curve to  $CO_2$  concentrations in this range. On the other hand, higher levels of  $CO_2$  increase F which has a favorable effect on reducing error as seen in Figure 1A.

One cannot necessarily expect the value of  $r_{gm}$  to be independent of stomatal resistance or ambient  $CO_2$  concentrations. If the stomatal resistance is high, the internal  $CO_2$  concentration will be reduced and the  $CO_2$  may not diffuse very far into the mesophyll tissue before absorption into

the liquid phase. This would decrease  $r_{gm}$ . On the other hand, increasing  $C_a$  may result in a greater amount of  $CO_2$  diffusing farther into the leaf before entering the liquid phase with a corresponding increase in  $r_{gm}$ . The number and distribution of stomata are important in this aspect. Changes in leaf water content may also affect  $r_{gm}$  because of the changes that occur in the air filled pore size distribution of the mesophyll tissue as its cells shrink and swell.

The data in Tables 1 and 2 are presented primarily to illustrate the method and to give the reader a feeling for the range of values that may be encountered subject to the experimental uncertainties involved. Nevertheless, it does appear that gas phase resistance to  $CO_2$  uptake may sometimes be 100 or  $200 \, \text{s} \cdot \text{m}^{-1}$  greater than diffusion resistance inferred by transpiration rates.

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